GAU 2811

e type a plus sign (+) inside this box -

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0551-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

April 18, 2001

Date April 18, 2001

09/535.836 **TRANSMITTAL** Filing Date March 28, 2000 **FORM** First Named Inventor Hisashi Ohtani (to be used for all correspondence after initial filing) Group Art Unit 2811 Examiner Name Hung K. Vu Total Number of Pages in This Submission Attorney Docket Number | SEL 173 **ENCLOSURES** (check all that apply) Assignment Papers (for an Application) After Allowance Communication Fee Transmittal Form to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Amendment / Reply Election and Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Amendment A Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Express Abandonment Request Request for Refund 2800 MAIL ROO Information Disclosure Statement CD. Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name Mark J. Murphy Signature Date April 18, 2001 CERTIFICATE OF MAILING

Application Number

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. On NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESSY SEND TO. Assistant Commissioner for Patents, Washington, DC 20231.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class

mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Christine A. Barqlik

Typed or printed name

IN THE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TAND TRADEMARK OFFICE #7

Attorney Docket SEL 173 /cf

in Ke Application of

Ohtani et al.

Serial No.: 09/535,836

Filed: March 28, 2000

For: Semiconductor Device And
The Fabricating Method Thereof

Art Unit: 2811

Examiner: H. Vu

Commissioner for Patents Washington, D.C. 20231 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents Washington, D.C. 20231

April 18, 2001

Christine a. Barglik 4-18:01

RECEIVED

APR 24 2001

2800 MAIL ROO

04/25/2001 SSURLES 00000001 501039 09535636 ELECTION AND AMENDMENT A

01 | C:103 02 FC:102

216,00 CH Sir:60,00 CH

In response to the Restriction Requirement of March 19, 2001, Applicants elect to prosecute Group I: Claims 1-12 and 19-24 in the above-identified application. Applicants are making this election without prejudice to later filing a divisional application on the non-elected claims.

Please also amend the above-identified application as follows:

IN THE CLAIMS:

Please add the following new claims:

28. A semiconductor device comprising:

a thin film transistor formed over a substrate, said thin film transistor having a semiconductor layer and a gate electrode adjacent to said semiconductor layer with a gate insulating film interposed therebetween;